

**STATE OF VERMONT  
STANDARD GRANT AGREEMENT**

Contract # \_\_\_\_\_  
EA# \_\_\_\_\_

1. Parties: This is a Grant Agreement for financial assistance between the State of Vermont, Agency of Transportation (hereinafter called "State"), and \_\_\_\_\_ with principal place of business at \_\_\_\_\_, (hereinafter called "Grantee"). If Grantee does not have a Business Account Number, it is the Grantee's responsibility to contact the Vermont Department of Taxes to determine if, by law, the Grantee is required to have a Vermont Department of Taxes Business Account Number.
2. Subject Matter: The subject matter of this Grant Agreement is financial assistance to a municipality under 19 V.S.A. § 306(d) for emergency aid in repairing, building, or rebuilding class 1, 2, or 3 town highways and bridges damaged by natural or man-made disasters. Detailed services to be provided by the Grantee are described in Attachment A.
3. Maximum Amount: In consideration of the scope of work to be performed by Grantee, the State agrees to pay Grantee, in accordance with the payment provisions specified in Attachment B, a sum not to exceed \$\_\_\_\_\_.
4. Grant Term: The period of Grantee's performance shall begin on \_\_\_\_\_, 20\_\_\_\_ and end on \_\_\_\_\_, 20\_\_\_\_.
5. Source of Funds: State \_\_\_\_\_% Other \_\_\_\_\_%
6. Amendment: No changes, modifications, or amendments in the terms and conditions of this Grant Agreement shall be effective unless reduced to writing, numbered, and signed by the duly authorized representative of the State and Grantee.
7. Cancellation: This Grant Agreement may be suspended or cancelled by either party by giving written notice at least thirty (30) days in advance.
8. The Grantee's contact person for this award is: Name \_\_\_\_\_; Telephone Number \_\_\_\_\_; E-mail address \_\_\_\_\_.  
  
The Grantor's contact person for this award is: Name \_\_\_\_\_; Telephone Number \_\_\_\_\_; E-mail address \_\_\_\_\_.
9. The Grantee hereby certifies as follows:
  - a. The Grantee has funds available to finance the local share of the project during the Grant period.
  - b. The Grantee [ ] has\* [ ] has not\*\* adopted codes and standards which meet or exceed the minimum standards included in the January 4, 2011 template produced by the Vermont Agency of Transportation (VTrans). (A copy is on file with VTrans.) If the Grantee has

adopted codes and standards which meet these minimum requirements, the Grantee further certifies that the municipality follows and adheres to those adopted codes and standards.

- c. The Grantee [ ] has\* [ ] does not have\*\* an Infrastructure Study (three years or less old) which identifies location, size, deficiencies/condition of roads, bridges, causeways, culverts and highway-related retaining walls on class 1, 2, and 3 town highways, and estimated cost of repair.
- d. The Grantee has submitted the Annual Town Plan required by 19 V.S.A. § 306(j) to VTrans' district office.
- e. Where a municipality adopted codes and standards which meet or exceed the minimums required by VTrans (February 2001 template), eligibility for reimbursement for repair or replacement (if destroyed) will be reviewed according to those standards.
- f. Where a municipality did not adopt these standards, eligibility for reimbursement for repair or replacement (if destroyed) of infrastructure shall be limited to the specifications of the infrastructure that pre-existed the emergency event; however, the repair or replacement shall be to standards approved by the agency of transportation.

10. The Grantee hereby certifies that:

- A. They [ ] have\* [ ] have not\*\* completed the ***Checklist for Town Highway Grant Programs (see below)***. The checklist highlights both Historical (\*H) and Archaeological (\*A) Features that may need further review.
- B. They [ ] have\* [ ] have not\*\* included photographs of the site in question with the application.

**CHECKLIST:**

**Existing Structure: Please check all that apply.**

- \_\_\_\_\_ Steel tube culvert
- \_\_\_\_\_ Concrete box culvert
- \_\_\_\_\_ Stone culvert \*H
- \_\_\_\_\_ Concrete bridge \*H
- \_\_\_\_\_ Metal truss bridge \*H
- \_\_\_\_\_ Wooden covered bridge \*H
- \_\_\_\_\_ Stone abutments or piers \*H
- \_\_\_\_\_ Buildings within 300 feet of structure \*H

**Project Description: Please check all that apply.**

- \_\_\_\_\_ The project involves engineering/planning only
- \_\_\_\_\_ The project consists of repaving existing paved surfaces only
- \_\_\_\_\_ The project consists of reestablishing existing ditches only
- \_\_\_\_\_ All work will be done from the existing road or shoulder
- \_\_\_\_\_ The structure is being replaced on existing location/alignment
- \_\_\_\_\_ There will be excavation within 300 feet of a river or stream \*A
- \_\_\_\_\_ There will be excavation within a flood plain \*A

- \_\_\_\_\_ There are foundation remains, mill ruins, stone walls or other \*A
- \_\_\_\_\_ Features within 100 feet of the project \*A
- \_\_\_\_\_ Temporary off-road access is required \*A
- \_\_\_\_\_ New ditches will be established \*A
- \_\_\_\_\_ The roadway will be realigned \*A

***Checklist Items Needing Further Review***

- Structure Type or work items that are checked (\*) may involve impacts to protected historic or archaeological resources. Responsible parties are encouraged to contact the individuals below for assistance.

**\*H** Scott Newman, VTrans Historic Preservation Officer,  
802.595-5119, [scott.newman@state.vt.us](mailto:scott.newman@state.vt.us)

*OR* Kaitlin O'Shea, VTrans Historic Preservation Specialist,  
802-279-0869, [kaitlin.o'shea@state.vt.us](mailto:kaitlin.o'shea@state.vt.us)

**\*A** Jen Russell, VTrans Archaeology Officer,  
802-828-3981, [jeannine.russell@state.vt.us](mailto:jeannine.russell@state.vt.us)

*OR* Brennan Gauthier, VTrans Assistant Archaeologist,  
802-828-3965 [brennan.gauthier@state.vt.us](mailto:brennan.gauthier@state.vt.us)

11. Attachments: This Grant Agreement consists of \_\_\_\_\_ ( ) pages including the following attachments which are incorporated herein:

Attachment A - Scope of Work to be Performed  
Attachment B - Payment Provisions  
Attachment C - Customary State Grant Provisions  
Attachment D - Other Provisions

Recommended for Approval:

Date \_\_\_\_\_

\_\_\_\_\_  
District Transportation Administrator

WE, THE UNDERSIGNED PARTIES, AGREE TO BE BOUND BY THIS GRANT AGREEMENT.

STATE OF VERMONT  
AGENCY OF TRANSPORTATION

GRANTEE:  
\_\_\_\_\_

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Name: Brian R. Searles / Susan M. Minter

Name (print): \_\_\_\_\_

Title: [Deputy] Secretary of Transportation

Title: \_\_\_\_\_

Date: \_\_\_\_\_, 20\_\_

Date: \_\_\_\_\_, 20\_\_

APPROVED AS TO FORM:

DATE: \_\_\_\_\_

\_\_\_\_\_  
ASSISTANT ATTORNEY GENERAL

**ATTACHMENT A**  
**SCOPE OF WORK TO BE PERFORMED BY GRANTEE**

**1. Information on Disaster.** The work described below is required because of a disaster which occurred on \_\_\_\_\_, 20\_\_\_\_. The type of disaster was:  
[ ] flood [ ] other: \_\_\_\_\_  
\_\_\_\_\_

**2. Location of Work.** The work described below involves the following town highway structure:

TH # \_\_\_\_\_, (Name: \_\_\_\_\_) which is a class \_\_\_\_\_ town highway.  
Bridge # \_\_\_\_\_, which crosses \_\_\_\_\_  
Culvert # \_\_\_\_\_, for which the original size was \_\_\_\_\_ and the replacement size, is \_\_\_\_\_  
Causeway: \_\_\_\_\_  
Retaining wall: \_\_\_\_\_

**2. Anticipated Completion Date.** The anticipated completion date for this project is \_\_\_\_\_, 20\_\_\_\_.

**3. Work to be done:**

**4. Detailed Cost Estimate:**

**ATTACHMENT B  
PAYMENT PROVISIONS**

The State agrees to compensate the Grantee for services performed up to the maximum amount stated on page 1 of this Grant Agreement provided such services are within the scope of the Grant and are authorized as provided for under the terms and conditions of this Grant.

Quarterly Progress Reports are due no later than the fifteenth of the month following the quarter being reported, (January 15, April 15, July 15, and October 15).

Within 45 days of completion of the work, the Grantee must request payment using a written invoice, to be accompanied by copies of any and all documentation supporting the invoice.

A close out report must also be submitted within 45 days of the project completion or end date of the Grant, whichever is first, documenting that the project has been completed and accepted by the Grantee (this is also on the VTrans TA65 form).

If the project is not completed before the end date of the Grant, the Grantee will have no claim for reimbursement under this Grant Agreement.

All completed forms should be submitted to:

Name: \_\_\_\_\_  
Division: \_\_\_\_\_  
Address: Vermont Agency of Transportation  
District \_\_\_\_\_  
\_\_\_\_\_, VT 05 \_\_\_\_\_ - \_\_\_\_\_

## **ATTACHMENT C: STANDARD STATE PROVISIONS FOR CONTRACTS AND GRANTS**

- 1. Entire Agreement:** This Agreement, whether in the form of a Contract, State Funded Grant, or Federally Funded Grant, represents the entire agreement between the parties on the subject matter. All prior agreements, representations, statements, negotiations, and understandings shall have no effect.
- 2. Applicable Law:** This Agreement will be governed by the laws of the State of Vermont.
- 3. Definitions:** For purposes of this Attachment, “Party” shall mean the Contractor, Grantee or Subrecipient, with whom the State of Vermont is executing this Agreement and consistent with the form of the Agreement.
- 4. Appropriations:** If this Agreement extends into more than one fiscal year of the State (July 1 to June 30), and if appropriations are insufficient to support this Agreement, the State may cancel at the end of the fiscal year, or otherwise upon the expiration of existing appropriation authority. In the case that this Agreement is a Grant that is funded in whole or in part by federal funds, and in the event federal funds become unavailable or reduced, the State may suspend or cancel this Grant immediately, and the State shall have no obligation to pay Subrecipient from State revenues.
- 5. No Employee Benefits For Party:** The Party understands that the State will not provide any individual retirement benefits, group life insurance, group health and dental insurance, vacation or sick leave, workers compensation or other benefits or services available to State employees, nor will the state withhold any state or federal taxes except as required under applicable tax laws, which shall be determined in advance of execution of the Agreement. The Party understands that all tax returns required by the Internal Revenue Code and the State of Vermont, including but not limited to income, withholding, sales and use, and rooms and meals, must be filed by the Party, and information as to Agreement income will be provided by the State of Vermont to the Internal Revenue Service and the Vermont Department of Taxes.
- 6. Independence, Liability:** The Party will act in an independent capacity and not as officers or employees of the State.

The Party shall defend the State and its officers and employees against all claims or suits arising in whole or in part from any act or omission of the Party or of any agent of the Party. The State shall notify the Party in the event of any such claim or suit, and the Party shall immediately retain counsel and otherwise provide a complete defense against the entire claim or suit.

After a final judgment or settlement the Party may request recoupment of specific defense costs and may file suit in Washington Superior Court requesting recoupment. The Party shall be entitled to recoup costs only upon a showing that such costs were entirely unrelated to the defense of any claim arising from an act or omission of the Party.

The Party shall indemnify the State and its officers and employees in the event that the State, its officers or employees become legally obligated to pay any damages or losses arising from

any act or omission of the Party.

- 7. Insurance:** Before commencing work on this Agreement the Party must provide certificates of insurance to show that the following minimum coverages are in effect. It is the responsibility of the Party to maintain current certificates of insurance on file with the state through the term of the Agreement. No warranty is made that the coverages and limits listed herein are adequate to cover and protect the interests of the Party for the Party's operations. These are solely minimums that have been established to protect the interests of the State.

Workers Compensation: With respect to all operations performed, the Party shall carry workers' compensation insurance in accordance with the laws of the State of Vermont.

General Liability and Property Damage: With respect to all operations performed under the contract, the Party shall carry general liability insurance having all major divisions of coverage including, but not limited to:

Premises - Operations  
Products and Completed Operations  
Personal Injury Liability  
Contractual Liability

The policy shall be on an occurrence form and limits shall not be less than:

\$1,000,000 Per Occurrence  
\$1,000,000 General Aggregate  
\$1,000,000 Products/Completed Operations Aggregate  
\$ 50,000 Fire/ Legal/Liability

Party shall name the State of Vermont and its officers and employees as additional insureds for liability arising out of this Agreement.

Automotive Liability: The Party shall carry automotive liability insurance covering all motor vehicles, including hired and non-owned coverage, used in connection with the Agreement. Limits of coverage shall not be less than: \$1,000,000 combined single limit.

Party shall name the State of Vermont and its officers and employees as additional insureds for liability arising out of this Agreement.

- 8. Reliance by the State on Representations:** All payments by the State under this Agreement will be made in reliance upon the accuracy of all prior representations by the Party, including but not limited to bills, invoices, progress reports and other proofs of work.
- 9. Requirement to Have a Single Audit:** In the case that this Agreement is a Grant that is funded in whole or in part by federal funds, and if this Subrecipient expends \$500,000 or more in federal assistance during its fiscal year, the Subrecipient is required to have a single audit conducted in accordance with the Single Audit Act, except when it elects to have a program specific audit.

The Subrecipient may elect to have a program specific audit if it expends funds under only one federal program and the federal program's laws, regulating or grant agreements do not

require a financial statement audit of the Party.

A Subrecipient is exempt if the Party expends less than \$500,000 in total federal assistance in one year.

The Subrecipient will complete the Certification of Audit Requirement annually within 45 days after its fiscal year end. If a single audit is required, the sub-recipient will submit a copy of the audit report to the primary pass-through Party and any other pass-through Party that requests it within 9 months. If a single audit is not required, the Subrecipient will submit the Schedule of Federal Expenditures within 45 days. These forms will be mailed to the Subrecipient by the Department of Finance and Management near the end of its fiscal year. These forms are also available on the Finance & Management Web page at:

<http://finance.vermont.gov/forms>

**10. Records Available for Audit:** The Party will maintain all books, documents, payroll papers, accounting records and other evidence pertaining to costs incurred under this agreement and make them available at reasonable times during the period of the Agreement and for three years thereafter for inspection by any authorized representatives of the State or Federal Government. If any litigation, claim, or audit is started before the expiration of the three year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved. The State, by any authorized representative, shall have the right at all reasonable times to inspect or otherwise evaluate the work performed or being performed under this Agreement.

**11. Fair Employment Practices and Americans with Disabilities Act:** Party agrees to comply with the requirement of Title 21 V.S.A. Chapter 5, Subchapter 6, relating to fair employment practices, to the full extent applicable. Party shall also ensure, to the full extent required by the Americans with Disabilities Act of 1990, as amended, that qualified individuals with disabilities receive equitable access to the services, programs, and activities provided by the Party under this Agreement. Party further agrees to include this provision in all subcontracts.

**12. Set Off:** The State may set off any sums which the Party owes the State against any sums due the Party under this Agreement; provided, however, that any set off of amounts due the State of Vermont as taxes shall be in accordance with the procedures more specifically provided hereinafter.

**13. Taxes Due to the State:**

- a. Party understands and acknowledges responsibility, if applicable, for compliance with State tax laws, including income tax withholding for employees performing services within the State, payment of use tax on property used within the State, corporate and/or personal income tax on income earned within the State.
- b. Party certifies under the pains and penalties of perjury that, as of the date the Agreement is signed, the Party is in good standing with respect to, or in full compliance with, a plan to pay any and all taxes due the State of Vermont.
- c. Party understands that final payment under this Agreement may be withheld if the Commissioner of Taxes determines that the Party is not in good standing with respect

to or in full compliance with a plan to pay any and all taxes due to the State of Vermont.

- d. Party also understands the State may set off taxes (and related penalties, interest and fees) due to the State of Vermont, but only if the Party has failed to make an appeal within the time allowed by law, or an appeal has been taken and finally determined and the Party has no further legal recourse to contest the amounts due.

**14. Child Support:** (Applicable if the Party is a natural person, not a corporation or partnership.) Party states that, as of the date the Agreement is signed, he/she:

- a. is not under any obligation to pay child support; or
- b. is under such an obligation and is in good standing with respect to that obligation; or
- c. has agreed to a payment plan with the Vermont Office of Child Support Services and is in full compliance with that plan.

Party makes this statement with regard to support owed to any and all children residing in Vermont. In addition, if the Party is a resident of Vermont, Party makes this statement with regard to support owed to any and all children residing in any other state or territory of the United States.

**15. Sub-Agreements:** Party shall not assign, subcontract or subgrant the performance of his Agreement or any portion thereof to any other Party without the prior written approval of the State. Party also agrees to include in all subcontract or subgrant agreements a tax certification in accordance with paragraph 13 above.

**16. No Gifts or Gratuities:** Party shall not give title or possession of any thing of substantial value (including property, currency, travel and/or education programs) to any officer or employee of the State during the term of this Agreement.

**17. Certification Regarding Debarment:** Party certifies under pains and penalties of perjury that, as of the date that this Agreement is signed, neither Party nor Party's principals (officers, directors, owners, or partners) are presently debarred, suspended, proposed for debarment, declared ineligible or excluded from participation in federal programs or programs supported in whole or in part by federal funds.

(End of Standard Provisions)

## ATTACHMENT D OTHER GRANT AGREEMENT PROVISIONS

1. **Cost of Materials:** Grantee will not buy materials and resell to the State at a profit.
2. ~~**Identity of workers:** The Grantee will assign the following individuals to the services to be performed under the provisions of this agreement, and these individuals shall be considered essential to performance. [cite individuals]. Should any of the individuals become unavailable during the period of performance, the State shall have the right to approve any proposed successors, or, at its option, to cancel the remainder of the Agreement. [Deliberately omitted]~~
3. ~~**Work Product Ownership:** Upon full payment by the State, all products of the Grantee's work, including outlines, reports, charts, sketches, drawings, art work, plans, photographs, specifications, estimates, computer programs, or similar documents, become the sole property of the State of Vermont and may not be copyrighted or resold by Grantee. [Deliberately omitted]~~
4. **Prior Approval/Review of Releases:** Any notices, information pamphlets, press releases, research reports, or similar other publications prepared and released in written or oral form by the Grantee under this Grant Agreement shall be approved/reviewed by the State prior to release.
5. **Ownership of Equipment:** Any equipment purchased by or furnished to the Grantee by the State under this grant agreement is provided on a loan basis only and remains the property of the State.
6. ~~**Legal Services:** If the Grantee will be providing legal services under this Grant Agreement, Grantee agrees that during the term of the Grant Agreement he or she will not represent anyone in a matter, proceeding, or lawsuit against the State of Vermont or any of its agencies or instrumentalities. After termination of this Grant Agreement, Grantee also agrees that he or she will not represent anyone in a matter, proceeding, or lawsuit substantially related to this Grant Agreement. [Deliberately omitted.]~~
7. **Grantee's Liens:** Grantee will discharge any and all contractors' or mechanics' liens imposed on property of the State through the actions of subcontractors.
8. ~~**Performance Bond:** The Grantee shall, prior to commencing work under this Grant Agreement, furnish to the State a payment and performance bond from a reputable insurance company licensed to do business in the State of Vermont, guaranteeing the satisfactory completion of the Grant Agreement by the Grantee and payment of all subcontractors, suppliers and employees. Applies to subcontractors. [Deliberately omitted]~~
9. ~~**Professional Liability Insurance:** Before commencing work on this Grant Agreement and throughout the term of this Grant Agreement, Grantee shall procure and maintain professional liability insurance for any and all services performed under this Grant Agreement, with~~

~~minimum coverage of \$ \_\_\_\_\_ per occurrence. [Deliberately omitted.]~~

10. **State Minimum Wage:** The Grantee will comply with the state minimum wage laws and regulations, if applicable.
11. **Health Insurance Portability and Accountability Act (HIPAA):** The confidentiality of any health care information acquired by or provided to the Grantee shall be maintained in compliance with any applicable State or federal laws or regulations.
12. **Equal Opportunity Plan:** If it is required by the Federal Office of Civil Rights to have a plan, the Grantee must provide a copy of the approval of its Equal Opportunity Plan.
13. **Supplanting:** If required, the Grantee will submit a Certification that funds will not be used to supplant local or other funding.
14. **Compliance with Cost Principles:** Grantee shall comply with the requirements set forth in 2 CFR 225 (for state and local governments including schools), A-122 (for non-profit organizations), or A-21 (for higher education institutions) as appropriate for the Grantee's type of organization.
15. **Compliance with Administrative Regulations:** Grantee shall comply with requirements of OMB Circular A-102 (state and local governments and schools) or A-110 (institutions of higher education, hospitals, and non-profit organizations) as appropriate for the Grantee's type of organization.
16. **Construction;** The Grantee will construct the project using sound engineering practices and in accordance with plans defining the work.
17. **Permits; Compliance with Permit Conditions.** The Grantee will obtain all necessary permits and other approvals required to construct the Project and will be responsible for assuring that all permit or approval requirements are complied with during construction and, to the extent applicable, for the life of the project.
18. **Damage to Abutters.** The Grantee will pay the total cost of any incidental damages that may be sustained by abutting or adjacent property owners or occupants as the result of construction of the project.
19. **Acquisition of Additional Right-of-Way.** The Grantee will be responsible for obtaining additional right-of-way, if any, needed for the project. The cost of any such right-of-way shall be the responsibility of the Grantee.
20. **Utility Relocations.** The Grantee will be responsible for making any necessary arrangements for utility relocations needed to accommodate the project. Please call Dig Safe at 1-800-DigSafe ([www.digsafe.com](http://www.digsafe.com)). The cost of any improvements to existing utilities shall be the responsibility of the Grantee or the utility.

21. **Traffic Control.** The Grantee will provide all traffic control necessary to assure the safe movement of traffic during construction.
  
22. **Maintenance of Project Improvements.** The Grantee will maintain the completed project in a manner satisfactory to the State or its authorized representatives and shall make ample provisions each year for town highways and structures. In this regard, the Grantee acknowledges that its attention has been directed to Vermont Statutes Annotated, Title 19, Sections 304 (Duties of selectmen) and 310 (Highways, bridges and trails).